

# Dispersion estimates for 2D Dirac equation

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## Abstract

We obtain a dispersion long-time decay in weighted norms for solutions of the 2D Dirac equation with generic potentials.

Keywords: dispersion decay, weighted norms, Dirac equation.

*2000 Mathematics Subject Classification:* 35L10, 34L25, 47A40, 81U05

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<sup>1</sup>Supported partly by the Austrian Science Fund (FWF): M1329-N13 and grants of RFBR

# 1 Introduction

In this paper, we establish a dispersive long time decay for solutions to 2D linear Dirac equation with the Maxwell potentials:

$$\begin{cases} i\psi(x, t) = \mathcal{H}\psi(x, t) := [-i\alpha \cdot \nabla + \beta m + \mathcal{V}(x)]\psi(x, t) \\ \psi(x, 0) = \psi_0(x) \end{cases} \quad x \in \mathbb{R}^2 \quad (1.1)$$

where  $\psi(x, t) \in \mathbb{C}^2$ ,  $m > 0$  and  $\alpha = (\alpha_1, \alpha_2)$ . The Hermitian matrices  $\beta = \alpha_0$  and  $\alpha_k$  satisfy the following relations:

$$\begin{cases} \alpha_k^* = \alpha_k, \\ \alpha_k \alpha_l + \alpha_l \alpha_k = 2\delta_{kl} I \end{cases} \quad k, l = 0, 1, 2. \quad (1.2) \quad \boxed{\text{ab}}$$

The standard form of the Dirac matrices  $\alpha_k$  and  $\beta$  is

$$\beta = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \alpha_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \alpha_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}. \quad (1.3) \quad \boxed{\text{ba}}$$

We assume the following conditions:

**E1.** The potential  $\mathcal{V} \in C^\infty(\mathbb{R}^2)$  is a Hermitian  $2 \times 2$  matrix function such that

$$|\partial^\alpha \mathcal{V}(x)| \leq C(\alpha) \langle x \rangle^{-\rho - |\alpha|}, \quad \langle x \rangle^\sigma = (1 + |x|^2)^{\sigma/2} \quad (1.4) \quad \boxed{\text{v}}$$

with some  $\rho > 5$ .

**E2.** The operator  $\mathcal{H}$  presents neither resonance nor eigenvalue at the thresholds.

Note that condition **E2** corresponds to the ‘‘regular case’’ in the terminology of [6] (or ‘‘non-singular case’’ in [13]). Under condition **E2** the operator  $\mathcal{H}$  has a finite set of eigenvalues  $\omega_j \in (-m, m)$ ,  $j = 1, \dots, N$ . Denote by  $P_j$  the Riesz projection onto the corresponding eigenspaces and by

$$P_d = \sum_j P_j \quad \text{and} \quad P_c := 1 - P_d \quad (1.5) \quad \boxed{\text{pc}}$$

the projections onto the discrete and continuous spectral spaces of  $\mathcal{H}_A$ .

For  $s, \sigma \in \mathbb{R}$ , denote by  $H_\sigma^s(\mathbb{R}^2)$  the weighted Sobolev spaces (see [1]) with the finite norms

$$\|u\|_{H_\sigma^s(\mathbb{R}^2)} = \|\langle x \rangle^\sigma \langle \nabla x \rangle^s u\|_{L^2(\mathbb{R}^2)} < \infty.$$

Denote  $H_\sigma^s := H_\sigma^s(\mathbb{R}^2) \otimes \mathbb{C}^2$ ,  $L_\sigma^2 := H_\sigma^0$ . Our main result is the following long time decay of the solutions to (1.1):

$$\|P_c \psi(t)\|_{L_\sigma^2} = \mathcal{O}(|t|^{-1} \log^{-2} |t|), \quad t \rightarrow \pm\infty \quad (1.6) \quad \boxed{\text{full}}$$

for initial data  $\psi_0 = \psi(0) \in L_\sigma^2$  with  $\sigma > 5/2$ .

The decay in weighted norms has been established first by Jensen and Kato [6] for the Schrödinger equation in the dimension  $n = 3$ . The result was extended to all other dimensions by Jensen and Nenciu [4, 5, 7], and to more general PDEs of the Schrödinger type by Murata [13]. For the Klein-Gordon equations the dispersive decay has been proved in [9]–[11], for 3D Dirac equation in [3], and for 1D Dirac equation in [12]. For 2D Dirac equation the decay was not obtained before.

Let us comment on our techniques. We extend our approach [11] to the Dirac equation. Note that decay (1.6) violates for the free 2D Dirac equation corresponding to  $\mathcal{V}(x) = 0$  when the solutions slow decay, like  $\sim t^{-1}$ . The slow decay is caused by the “resonance functions”  $\psi_m(x) = (1, 0)$  and  $\psi_{-m}(x) = (0, 1)$  corresponding to the edge points  $\pm m$  of the continuous spectrum of the free Dirac operator  $\mathcal{H}_0 = -i\alpha \cdot \nabla + m\beta$  (see Remark 3.1). Hence, decay (1.6) cannot be deduced by perturbation arguments from the corresponding estimate for the free equation.

Following [6], we consider low energy and high energy components of solution to (1.1) separately. The high energy component decays like  $t^{-N}$  with any  $N > 0$ . The proof relies on the Mourre estimates and the minimal escape velocity estimates obtained by Boussaid [3] in the context of the 3D Dirac operator.

For the low energy component, the decay  $\sim t^{-1} \log^{-2} t$  follows by a suitable modification of the methods [6, 13, 15]. Our main novelties are the asymptotics (3.2) of the perturbed resolvent near the points  $\pm m$  in the nonsingular case (i.e., under Condition E2) and “2D version” of Jensen-Kato-Zygmund lemma (Lemma 3.7) on “one-and-half partial integration”.

Our paper is organized as follows. In Section 2 we obtain the time decay for the solution to the free Dirac equation and state the spectral properties of the free resolvent. In Section 3 we obtain spectral properties of the perturbed resolvent and prove decay (1.6).

## 2 Free Dirac equation

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First, we consider the free Dirac equation:

$$i\psi(x, t) = \mathcal{H}_0\psi(x, t) := -i\alpha \cdot \nabla\psi(x, t) + m\beta\psi(x, t). \quad (2.1) \quad \text{Dir0}$$

Denote by  $\mathcal{U}_0(t) : \psi(\cdot, 0) \rightarrow \psi(\cdot, t)$  the dynamical group of equation (2.1). It is a strongly continuous group in  $L^2 := L^2(\mathbb{R}^2) \otimes \mathbb{C}^2$ . The group is unitary due to the charge conservation.

### 2.1 Spectral properties

We state spectral properties of  $\mathcal{U}_0(t)$  applying known results [1, 13] on spectral properties of the free Schrödinger dynamical group. For  $t > 0$  and  $\psi_0 = \psi(0) \in L^2$ , the solution  $\psi(t)$  to free equation (2.1) admits the spectral Fourier-Laplace representation

$$\theta(t)\psi(t) = \frac{1}{2\pi i} \int_{\mathbb{R}} e^{-i(\omega+i\varepsilon)t} \mathcal{R}_0(\omega+i\varepsilon)\psi_0 \, d\omega, \quad t \in \mathbb{R} \quad (2.2) \quad \text{Gint}$$

with any  $\varepsilon > 0$  where  $\theta(t)$  is the Heaviside function,  $\mathcal{R}_0(\omega) = (\mathcal{H}_0 - \omega)^{-1}$  is the resolvent of the operator  $\mathcal{H}_0$ . The representation follows from the stationary equation  $\omega\tilde{\psi}^+(\omega) = \mathcal{H}_0\tilde{\psi}^+(\omega) + i\psi_0$  for the Fourier-Laplace transform  $\tilde{\psi}^+(\omega) := \int_{\mathbb{R}} \theta(t)e^{i\omega t}\psi(t)dt$ , where  $\omega \in \mathbb{C}^+ := \{\text{Im}\omega > 0\}$ .

The solution  $\psi(t)$  is a continuous bounded function of  $t \in \mathbb{R}$  with the values in  $L^2$ . Hence,  $\tilde{\psi}^+(\omega) = -i\mathcal{R}_0(\omega)\psi_0$  is an analytic function of  $\omega \in \mathbb{C}^+$  with the values in  $L^2$ , bounded for  $\omega \in \mathbb{R} + i\varepsilon$ . Therefore, integral (2.2) converges in the sense of distributions of  $t \in \mathbb{R}$  with the

values in  $L^2$ . Similarly to [\(2.2\)](#), <sup>[Gint]</sup>

$$\theta(-t)\psi(t) = -\frac{1}{2\pi i} \int_{\mathbb{R}} e^{-i(\omega-i\varepsilon)t} \mathcal{R}_0(\omega-i\varepsilon)\psi_0 d\omega, \quad t \in \mathbb{R}. \quad (2.3) \quad \boxed{\text{Gints}}$$

The resolvent  $\mathcal{R}_0(\omega)$  can be expressed in terms of the resolvent  $R_0(\zeta) = (-\partial_x^2 - \zeta)^{-1}$  of the free Schrödinger operator. Indeed, [\(1.2\)](#) implies <sup>[AB]</sup>

$$(\mathcal{H}_0 - \omega)(\mathcal{H}_0 + \omega) = (-i\alpha \cdot \nabla + m\beta - \omega)(-i\alpha \cdot \nabla + m\beta + \omega) = -\Delta + m^2 - \omega^2. \quad (2.4) \quad \boxed{\text{HH}}$$

Therefore,

$$\mathcal{R}_0(\omega) = (-i\alpha \cdot \nabla + m\beta + \omega)R_0(\omega^2 - m^2) \quad (2.5) \quad \boxed{\text{RORO}}$$

where  $R_0(\zeta)$  is the operator with the integral kernel

$$R_0(\zeta, x-y) = \frac{i}{4} H_0^{(1)}(\zeta^{1/2}|x-y|) = \frac{1}{2\pi} K_0(-i\zeta^{1/2}|x-y|), \quad \zeta \in \mathbb{C}^+, \quad \text{Im} \zeta^{1/2} > 0. \quad (2.6) \quad \boxed{\text{ef}}$$

Here  $H_0^{(1)}$  is the modified Hankel function, and  $K_0$  is the Macdonald's function. Denote by  $\mathcal{L}(B_1, B_2)$  the Banach space of bounded linear operators from a Banach space  $B_1$  to a Banach space  $B_2$ . Explicit formula [\(2.6\)](#) obviously implies the properties of  $R_0(\zeta)$  (cf. [\[1, 11, 13\]](#)): <sup>[A, 2dkg, M]</sup>

**Lemma 2.1.** *i)  $R_0(\zeta)$  is an analytic function of  $\zeta \in \mathbb{C} \setminus [0, \infty)$  with the values in  $\mathcal{L}(H^0, H^2)$ ;*  
*ii) For  $\zeta > 0$ , the convergence (limiting absorption principle) holds*

$$R_0(\zeta \pm i\varepsilon) \rightarrow R_0(\zeta \pm i0), \quad \varepsilon \rightarrow 0+ \quad (2.7) \quad \boxed{\text{lap}}$$

in  $\mathcal{L}(H_\sigma^0, H_{-\sigma}^2)$  with  $\sigma > 1/2$ .

iii) The asymptotic expansion holds

$$R_0(\zeta) = A_0 \log \zeta + B_0 + \mathcal{O}(\zeta^{3/4}), \quad \zeta \rightarrow 0, \quad \zeta \in \mathbb{C} \setminus [0, \infty) \quad (2.8) \quad \boxed{\text{exp0}}$$

in  $\mathcal{L}(H_\sigma^0; H_{-\sigma}^2)$  with  $\sigma > 5/2$ . Here  $A_0, B_0 \in \mathcal{L}(H_\sigma^0; H_{-\sigma}^2)$ , with  $\sigma > 1$ , are the operators with the integral kernels  $A_0(x-y), B_0(x-y)$  respectively, where

$$A_0(x-y) = -\frac{1}{4\pi}, \quad B_0(x-y) = -\frac{\gamma}{2\pi} - \frac{1}{2\pi} \log \frac{|x-y|}{2} + \frac{i}{4}, \quad x, y \in \mathbb{R}^2. \quad (2.9) \quad \boxed{\text{AB}}$$

Furthermore,

$$R_0'(\zeta) = A_0 \zeta^{-1} + \mathcal{O}(\zeta^{-1/4}), \quad R_0''(\zeta) = -A_0 \zeta^{-2} + \mathcal{O}(\zeta^{-5/4}), \quad \zeta \rightarrow 0, \quad \zeta \in \mathbb{C} \setminus [0, \infty) \quad (2.10) \quad \boxed{\text{dif0}}$$

in  $\mathcal{L}(H_\sigma^0; H_{-\sigma}^2)$  with  $\sigma > 5/2$ .

iv) For any  $s \in \mathbb{R}$ ,  $l = -1, 0, 1, 2$  and  $k = 0, 1, 2, \dots$  the asymptotics hold

$$R_0^{(k)}(\zeta) = \mathcal{O}(|\zeta|^{-\frac{1-l+k}{2}}), \quad |\zeta| \rightarrow \infty, \quad \zeta \in \mathbb{C} \setminus [0, \infty)$$

in  $\mathcal{L}(H_\sigma^s; H_{-\sigma}^{s+l})$  with  $\sigma > 1/2 + k$ .

Let us denote  $\Gamma := (-\infty, -m) \cup (m, \infty)$ . Lemma [2.1](#) and formula [\(2.5\)](#) imply <sup>[fsp]</sup> <sup>[RORO]</sup>

**Lemma 2.2.** *i) The resolvent  $\mathcal{R}_0(\omega)$  is an analytic function of  $\omega \in \mathbb{C} \setminus \bar{\Gamma}$  with the values in  $\mathcal{L}(L^2, L^2)$ .*

*ii) For  $\omega \in \Gamma$ , the limiting absorption principle holds*

$$\mathcal{R}_0(\omega \pm i\varepsilon) \rightarrow \mathcal{R}_0(\omega \pm i0), \quad \varepsilon \rightarrow 0+ \quad (2.11) \quad \boxed{\text{lap1}}$$

*in  $\mathcal{L}(L^2_\sigma, L^2_{-\sigma})$  with  $\sigma > 1/2$ .*

*iii) The asymptotics hold*

$$\left. \begin{aligned} \mathcal{R}_0(\omega) &= \mathcal{A}_0^\pm \log(\omega \mp m) + \mathcal{B}_0^\pm + \mathcal{O}((\omega \mp m)^{3/4}) \\ \mathcal{R}'_0(\omega) &= \mathcal{A}_0^\pm (\omega \mp m)^{-1} + \mathcal{O}((\omega \mp m)^{-1/4}) \\ \mathcal{R}''_0(\omega) &= -\mathcal{A}_0^\pm (\omega \mp m)^{-2} + \mathcal{O}((\omega \mp m)^{-5/4}) \end{aligned} \right| \omega \rightarrow \pm m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma}. \quad (2.12) \quad \boxed{\text{expR0}}$$

*in  $\mathcal{L}(L^2_\sigma; L^2_{-\sigma})$  with  $\sigma > 5/2$ . Here the operators*

$$\begin{aligned} \mathcal{A}_0^\pm &= \text{Op} \left[ (m\beta \pm m)A_0 \right] \\ \mathcal{B}_0^\pm &= \text{Op} \left[ (m\beta \pm m)B_0 + \log(\pm 2m) + \frac{i}{2\pi|x-y|} \alpha \cdot \nabla |x-y| \right] \end{aligned} \quad (2.13) \quad \boxed{\text{cAA}}$$

*belong to  $\mathcal{L}(L^2_\sigma; L^2_{-\sigma})$  with  $\sigma > 1$ .*

*iv) For  $k = 0, 1, 2, \dots$  and  $\sigma > 1/2 + k$  the asymptotics hold*

$$\|\mathcal{R}_0^{(k)}(\omega)\|_{\mathcal{L}(L^2_\sigma, L^2_{-\sigma})} = \mathcal{O}(1), \quad \omega \rightarrow \infty, \quad \omega \in \mathbb{C} \setminus \Gamma. \quad (2.14) \quad \boxed{\text{bR0}}$$

**Corollary 2.3.** *For  $t \in \mathbb{R}$  and  $\psi_0 \in L^2_\sigma$  with  $\sigma > 1$ , the group  $\mathcal{U}_0(t)$  admits the integral representation*

$$\mathcal{U}_0(t)\psi_0 = \frac{1}{2\pi i} \int_{\Gamma} e^{-i\omega t} \left[ \mathcal{R}_0(\omega + i0) - \mathcal{R}_0(\omega - i0) \right] \psi_0 \, d\omega \quad (2.15) \quad \boxed{\text{Gint1}}$$

*where the integral converges in the sense of distributions of  $t \in \mathbb{R}$  with the values in  $L^2_{-\sigma}$ .*

*Proof.* Summing up representations  $\boxed{\text{Gint2}}$  and  $\boxed{\text{Gints}}$ , and sending  $\varepsilon \rightarrow 0+$ , we obtain  $\boxed{\text{Gint1}}$  by the Cauchy theorem and lemma  $\boxed{\text{sp2.2}}$ .  $\square$

## 2.2 Time decay

Here we prove time decay for the free Dirac equation  $\boxed{\text{Dir0}}$ . Estimates  $\boxed{\text{bR0}}$  do not allow to obtain the decay of  $\mathcal{U}_0(t)\psi_0$  by partial integration in  $\boxed{\text{Gint1}}$ . We deduce the decay from explicit formulas. We have

$$(\partial_t + \alpha \cdot \nabla - im\beta)(\partial_t - \alpha \cdot \nabla + im\beta) = \partial_t^2 - \Delta + m^2.$$

Then

$$\mathcal{U}_0(t) = (\partial_t + \alpha \cdot \nabla - im\beta)G(t), \quad (2.16) \quad \boxed{\text{UG}}$$

where  $G(t) = \text{Op}[G(x - y, t)]$ , and  $G(z, t)$  is the retarded fundamental solution to the Klein-Gordon operator  $\partial_t^2 - \Delta + m^2$ :

$$G(z, t) = \frac{1}{2\pi} \theta(|t| - |z|) \frac{\cos m \sqrt{t^2 - |z|^2}}{\sqrt{t^2 - |z|^2}}. \quad (2.17) \quad \boxed{\text{Gtz}}$$

We derive a time decay of  $\mathcal{U}(t)$  from the time decay of dynamical group  $\mathcal{G}(t)$  of the free Klein-Gordon equation. The matrix kernel  $\mathcal{G}(t, x - y)$  of the group  $\mathcal{G}(t)$  can be written as

$$\mathcal{G}(t, x - y) = \begin{pmatrix} \dot{G}(t, x - y) & G(t, x - y) \\ \ddot{G}(t, x - y) & \dot{G}(t, x - y) \end{pmatrix}, \quad x, y \in \mathbb{R}^2. \quad (2.18) \quad \boxed{\text{KGsol}}$$

For any  $s \in \mathbb{R}$ , denote  $\mathcal{F}_s = H_s^1 \oplus L_s^2$ .

p **Proposition 2.4.** *The asymptotics hold*

$$\mathcal{G}(t) = \mathcal{O}(t^{-1}), \quad t \rightarrow \infty \quad (2.19) \quad \boxed{\text{cG}}$$

in the norm of  $\mathcal{L}(\mathcal{F}_\sigma; \mathcal{F}_{-\sigma})$  with  $\sigma > 1$ .

*Proof.* We apply the method from the proof of Lemma 18.2 from IKV[8]. We split the function  $\Psi \in \mathcal{F}_\sigma$  in two terms,  $\Psi = \Psi'_t + \Psi''_t$  such that

$$\|\Psi'_t\|_{\mathcal{F}_\sigma} + \|\Psi''_t\|_{\mathcal{F}_\sigma} \leq C \|\Psi\|_{\mathcal{F}_\sigma}, \quad t \geq 1, \quad (2.20) \quad \boxed{\text{FFn}}$$

$$\Psi'_t(x) = 0 \quad \text{for } |x| > \frac{t}{3}, \quad \text{and} \quad \Psi''_t(x) = 0 \quad \text{for } |x| < \frac{t}{4}. \quad (2.21) \quad \boxed{\text{F}}$$

We estimate  $\mathcal{G}(t)\Psi'_t$  and  $\mathcal{G}(t)\Psi''_t$  separately.

*Step i)* First we estimate  $\mathcal{G}(t)\Psi''_t$  using the energy conservation for the Klein-Gordon equation, and properties F(2.21) and FFn(2.20):

$$\|\mathcal{G}(t)\Psi''_t\|_{\mathcal{F}_{-\sigma}} \leq \|\mathcal{G}(t)\Psi''_t\|_{\mathcal{F}_0} \leq C \|\Psi''_t\|_{\mathcal{F}_0} \leq C_1 t^{-\sigma} \|\Psi''_t\|_{\mathcal{F}_\sigma} \leq C_2 t^{-1} \|\Psi\|_{\mathcal{F}_\sigma}, \quad t \geq 1, \quad (2.22) \quad \boxed{\text{zax}}$$

since  $\sigma > 1$ .

*Step ii)* Now we consider  $\mathcal{G}(t)\Psi'_t$ . We split the operator  $\mathcal{G}(t)$  in two terms:

$$\mathcal{G}(t) = (1 - \zeta)\mathcal{G}(t) + \zeta\mathcal{G}(t), \quad t \geq 1,$$

where  $\zeta$  is the operator of multiplication by the function  $\zeta(|x|/t)$  such that  $\zeta = \zeta(s) \in C_0^\infty(\mathbb{R})$ ,  $\zeta(s) = 1$  for  $|s| < 1/4$ , and  $\zeta(s) = 0$  for  $|s| > 1/3$ . Obviously, for any  $\alpha$ , we have

$$|\partial_x^\alpha \zeta(|x|/t)| \leq C(\alpha) < \infty, \quad t \geq 1.$$

Furthermore,  $1 - \zeta(|x|/t) = 0$  for  $|x| < t/4$ . Then

$$\|(1 - \zeta)\mathcal{G}(t)\Psi'_t\|_{\mathcal{F}_{-\sigma}} \leq C t^{-\sigma} \|(1 - \zeta)\mathcal{G}(t)\Psi'_t\|_{\mathcal{F}_0} \leq C_1 t^{-\sigma} \|\mathcal{G}(t)\Psi'_t\|_{\mathcal{F}_0}.$$

Hence, by the energy conservation and FFn(2.20), we obtain

$$\|(1 - \zeta)\mathcal{G}(t)\Psi'_t\|_{\mathcal{F}_{-\sigma}} \leq C_2 t^{-\sigma} \|\Psi'_t\|_{\mathcal{F}_0} \leq C_3 t^{-\sigma} \|\Psi'_t\|_{\mathcal{F}_\sigma} \leq C_3 t^{-1} \|\Psi\|_{\mathcal{F}_\sigma}, \quad t \geq 1, \quad (2.23) \quad \boxed{\text{zaz}}$$

since  $\sigma > 1$ .

*Step iii)* Finally, let us estimate  $\zeta\mathcal{G}(t)\Psi'_t$ . Denote  $\chi_t$  the characteristic function of the ball  $|x| \leq t/3$ . We will use the same notation for the operator of multiplication by this characteristic function. By (2.21), we have

$$\zeta\mathcal{G}(t)\Psi'_t = \zeta\mathcal{G}(t)\chi_t\Psi'_t. \quad (2.24) \quad \boxed{\text{xx}}$$

Formulas (2.17) - (2.18) imply that for any  $\alpha$  the bounds hold

$$|\partial_z^\alpha \mathcal{G}(z, t)| \leq C(\alpha, \varepsilon)t^{-1}, \quad |z| \leq \varepsilon t, \quad t \geq 1. \quad (2.25) \quad \boxed{\text{w}}$$

Therefore,

$$|\partial_x^\alpha [\zeta(|x|/t)\mathcal{G}(x-y, t)\chi_t(y)]| \leq Ct^{-1}, \quad |\alpha| \leq 1, \quad t \geq 1 \quad (2.26) \quad \boxed{\text{qaz}}$$

since  $\zeta(|x|/t) = 0$  for  $|x| > t/3$  and  $\chi_t(y) = 0$  for  $|y| > t/3$ . The norm of the operator  $\zeta\mathcal{G}(t)\chi_t : \mathcal{F}_\sigma \rightarrow \mathcal{F}_{-\sigma}$  is equivalent to the norm of the operator

$$\langle x \rangle^{-\sigma} \zeta\mathcal{G}(t)\chi_t \langle y \rangle^{-\sigma} : \mathcal{F}_0 \rightarrow \mathcal{F}_0.$$

The norm of the later operator does not exceed the sum in  $\alpha$ ,  $|\alpha| \leq 1$  of the norms of operators

$$\partial_x^\alpha [\langle x \rangle^{-\sigma} \zeta\mathcal{G}(t)\chi_t \langle y \rangle^{-\sigma}] : L^2(\mathbb{R}^2) \oplus L^2(\mathbb{R}^2) \rightarrow L^2(\mathbb{R}^2) \oplus L^2(\mathbb{R}^2). \quad (2.27) \quad \boxed{1234}$$

Estimates (2.26) imply that operators (2.27) are the Hilbert-Schmidt operators since  $\sigma > 1$ , and their Hilbert-Schmidt norms do not exceed  $Ct^{-1}$ . Hence, (2.20) and (2.24) imply that

$$\|\zeta\mathcal{G}(t)\Psi'_t\|_{\mathcal{F}_{-\sigma}} \leq Ct^{-1}\|\Psi'_t\|_{\mathcal{F}_\sigma} \leq C_1 t^{-1}\|\Psi\|_{\mathcal{F}_\sigma}, \quad t \geq 1. \quad (2.28) \quad \boxed{\text{HS}}$$

Finally, estimates (2.23) and (2.28) yield

$$\|\mathcal{G}(t)\Psi'_t\|_{\mathcal{F}_{-\sigma}} \leq Ct^{-1}\|\Psi_0\|_{\mathcal{F}_\sigma}, \quad t \geq 1. \quad (2.29) \quad \boxed{\text{Gr2}}$$

□

Formula (2.16) and decay (2.19) imply that

**Corollary 2.5.** *The asymptotics hold*

$$\mathcal{U}_0(t) = \mathcal{O}(t^{-1}), \quad t \rightarrow \infty \quad (2.30) \quad \boxed{\text{cU}}$$

in the norm of  $\mathcal{L}(L_\sigma^2; L_{-\sigma}^2)$  with  $\sigma > 1$ .

Therefore, the decay of the free Dirac group  $\mathcal{U}_0(t)$  is weaker than (1.6).

### 3 Perturbed equation

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To prove a long time decay for the perturbed equation, we first establish the spectral properties of its generator  $\mathcal{H}$ .

### 3.1 Spectral properties

Similarly to [13, Formula (3.1)], let us introduce a generalized eigenspaces  $\mathbf{M}^\pm$  of the operator  $\mathcal{H}$ :

$$\mathbf{M}^\pm = \{\psi \in H_{-1-0}^1 : (1 + \mathcal{B}_0^\pm \mathcal{V})\psi \in \mathfrak{R}(\mathcal{A}_0^\pm), \mathcal{A}_0^\pm \mathcal{V}\psi = 0\},$$

where  $\mathcal{A}_0^\pm$  and  $\mathcal{B}_0^\pm$  are defined in (2.13), and  $\mathfrak{R}(\mathcal{A}_0^\pm)$  denotes the range of  $\mathcal{A}_0^\pm$ . Below we assume that

$$\mathbf{M}^\pm = 0. \quad (3.1) \quad \boxed{\text{SC}}$$

es-df

**Remark 3.1.** *i) Note that  $\mathcal{N}^\pm(\mathcal{H}) \subset \mathbf{M}^\pm$ , where  $\mathcal{N}^\pm(\mathcal{H})$  are the eigenspaces of the operator  $\mathcal{H}$  corresponding to the eigenvalues  $\pm m$ . Similar embedding is obtained in [13, Lemma 3.2] in the context of the Schrödinger operator. The functions from  $\mathbf{M}^\pm \setminus \mathcal{N}^\pm(\mathcal{H})$  are called resonance functions. Hence, condition (3.1) means that  $\lambda = \pm m$  are neither eigenvalues nor resonances for the operator  $\mathcal{H}$ .*

*ii) In the case  $V(x) = 0$  we have  $\mathbf{M}^+ = \{(1, 0)\}$  and  $\mathbf{M}^- = \{(0, 1)\}$ , and hence the edge points  $\lambda = \pm m$  are resonances for the operator  $\mathcal{H}_0$ .*

*iii) Conditions (3.1) hold for “generic” potentials. (cf. [9, 10]).*

Denote by  $\mathcal{R}(\omega) = (\mathcal{H} - \omega)^{-1}$ ,  $\omega \in \mathbb{C} \setminus \Gamma$ , the resolvent of the operator  $\mathcal{H}$ . The next lemma is the vector version of [13, Theorem 7.2].

R-b

**Lemma 3.2.** *Let conditions (1.4) and (3.1) hold. Then for any  $\sigma > 1$  and for sufficiently small  $\delta > 0$  the families  $\{\mathcal{R}(\pm m + \varepsilon) : \pm m + \varepsilon \in \mathbb{C} \setminus \bar{\Gamma}, |\varepsilon| < \delta\}$  are bounded in the operator norm of  $\mathcal{L}(L_\sigma^2, L_{-\sigma}^2)$ .*

Asymptotics (2.12) and lemma 3.2 imply

R-exp

**Proposition 3.3.** *Let conditions (1.4) and (3.1) hold. Then for  $\omega \in \mathbb{C} \setminus \bar{\Gamma}$  the asymptotics hold*

$$\left. \begin{aligned} \mathcal{R}(\omega) &= \mathcal{A}^\pm + \mathcal{B}^\pm \log^{-1}(\omega \mp m) + \mathcal{O}(\log^{-2}(\omega \mp m)) \\ \mathcal{R}'(\omega) &= -\mathcal{B}^\pm (\omega \mp m)^{-1} \log^{-2}(\omega \mp m) + \mathcal{O}(\omega \mp m)^{-1} \log^{-3}(\omega \mp m) \\ \mathcal{R}''(\omega) &= \mathcal{O}((\omega \mp m)^{-2} \log^{-2}(\omega \mp m)) \end{aligned} \right| \omega \rightarrow \pm m \quad (3.2) \quad \boxed{\text{Rexp}}$$

in  $\mathcal{L}(L_\sigma^2, L_{-\sigma}^2)$  with  $\sigma > 5/2$ . Here  $\mathcal{A}^\pm, \mathcal{B}^\pm \in \mathcal{L}(L_\sigma^2, L_{-\sigma}^2)$ ,  $\sigma > 5/2$ , are the integral operators.

*Proof.* For concreteness we consider the sign “+”. Lemma 3.2 implies that for any  $1 < \sigma < \rho/2$  the operators  $(1 + \mathcal{R}_0(\omega)\mathcal{V})^{-1} = 1 - \mathcal{R}(\omega)\mathcal{V}$  and  $(1 + \mathcal{V}\mathcal{R}_0(\omega))^{-1} = 1 - \mathcal{V}\mathcal{R}(\omega)$  are bounded in  $\mathcal{L}(L_{-\sigma}^2, L_{-\sigma}^2)$  and in  $\mathcal{L}(L_\sigma^2, L_\sigma^2)$  respectively for  $|\omega - m| < \delta$ ,  $\omega \in \mathbb{C} \setminus \bar{\Gamma}$  with sufficiently small  $\delta > 0$ . Further, asymptotics (2.12) yield

$$\left. \begin{aligned} \mathcal{R}(\omega) &= (1 + \mathcal{R}_0(\omega)\mathcal{V})^{-1} \mathcal{R}_0(\omega) = (1 + \mathcal{R}_0(\omega)\mathcal{V})^{-1} (\mathcal{A}_0^+ \log(\omega - m) + \mathcal{B}_0^+ + \mathcal{O}(\omega - m)^{\frac{3}{4}}) \\ \mathcal{R}(\omega) &= \mathcal{R}_0(\omega) (1 + \mathcal{V}\mathcal{R}_0(\omega))^{-1} = (\mathcal{A}_0^+ \log(\omega - m) + \mathcal{B}_0^+ + \mathcal{O}(\omega - m)^{\frac{3}{4}}) (1 + \mathcal{V}\mathcal{R}_0(\omega))^{-1} \end{aligned} \right| \quad (3.3) \quad \boxed{\text{RAR}}$$

as  $\omega \rightarrow m$  in  $\mathcal{L}(L_\sigma^2, L_{-\sigma}^2)$  with  $\sigma > 5/2$ . Hence, the boundedness of  $\mathcal{R}(\omega)$ ,  $(1 + \mathcal{R}_0(\omega)\mathcal{V})^{-1}$ , and  $(1 + \mathcal{V}\mathcal{R}_0(\omega))^{-1}$  at the point  $\omega = m$  in the corresponding norms imply that

$$\left. \begin{aligned} (1 + \mathcal{R}_0(\omega)\mathcal{V})^{-1}\mathcal{A}_0^+ &= \mathcal{O}(\log^{-1}(\omega - m)) \\ \mathcal{A}_0^\pm(1 + \mathcal{V}\mathcal{R}_0(\omega))^{-1} &= \mathcal{O}(\log^{-1}(\omega - m)) \end{aligned} \right| \omega \rightarrow \pm m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma} \quad (3.4) \quad \boxed{\text{A00}}$$

in  $\mathcal{L}(L_\sigma^2, L_{-\sigma}^2)$  with  $\sigma > 5/2$ . Therefore,

$$\|(1 + \mathcal{R}_0(\omega)\mathcal{V})^{-1}[1]\|_{L_{-\sigma}^2} = \mathcal{O}(\log^{-1}(\omega - m)), \quad \omega \rightarrow \pm m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma}, \quad \sigma > 5/2, \quad (3.5) \quad \boxed{\text{G00}}$$

where 1 stands for the constant vector function  $f(x) \equiv (1, 1)$ , and for any  $f \in L_\sigma^2$  with  $\sigma > 5/2$

$$\int [(1 + \mathcal{V}\mathcal{R}_0(\omega))^{-1}f](x)dx = \mathcal{O}(\log^{-1}(\omega - m)), \quad \omega \rightarrow \pm m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma}. \quad (3.6) \quad \boxed{\text{G01}}$$

Taking into account the identities

$$\mathcal{R}' = (1 + \mathcal{R}_0\mathcal{V})^{-1}\mathcal{R}'_0(1 + \mathcal{V}\mathcal{R}_0)^{-1}, \quad \mathcal{R}'' = \left[ (1 + \mathcal{R}_0\mathcal{V})^{-1}\mathcal{R}''_0 - 2\mathcal{R}'\mathcal{V}\mathcal{R}'_0 \right] (1 + \mathcal{V}\mathcal{R}_0)^{-1} \quad (3.7) \quad \boxed{\text{deriv2}}$$

we obtain from  $\boxed{\text{G00}}$  -  $\boxed{\text{G01}}$  the asymptotics

$$\mathcal{R}'(\omega) = \mathcal{O}((\omega - m)^{-1} \log^{-2}(\omega - m)), \quad \omega \rightarrow m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma}, \quad (3.8) \quad \boxed{\text{ff}}$$

$$\mathcal{R}''(\omega) = \mathcal{O}((\omega - m)^{-2} \log^{-2}(\omega - m)), \quad \omega \rightarrow m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma}. \quad (3.9) \quad \boxed{\text{ss}}$$

Integrating  $\boxed{\text{ff}}$  (3.8), we get

$$\mathcal{R}(\omega) = \mathcal{A}^+ + \mathcal{O}(\log^{-1}(\omega - m)), \quad \omega \rightarrow m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma} \quad (3.10) \quad \boxed{\text{RR}}$$

in  $\mathcal{L}(L_\sigma^2, L_{-\sigma}^2)$  with  $\sigma > 5/2$ . Now we can refine asymptotics  $\boxed{\text{G00}}$  and  $\boxed{\text{G01}}$ . Namely, asymptotics  $\boxed{\text{RAR}}$  (3.3) and  $\boxed{\text{RR}}$  (3.10) imply

$$\left. \begin{aligned} (1 + \mathcal{R}_0(\omega)\mathcal{V})^{-1}\mathcal{A}_0^+ &= \mathcal{D}_1^+ \log^{-1}(\omega - m) + \mathcal{O}(\log^{-2}(\omega - m)) \\ \mathcal{A}_0^+(1 + \mathcal{V}\mathcal{R}_0(\omega))^{-1} &= \mathcal{D}_2^+ \log^{-1}(\omega - m) + \mathcal{O}(\log^{-2}(\omega - m)) \end{aligned} \right| \omega \rightarrow m, \quad \omega \in \mathbb{C} \setminus \bar{\Gamma} \quad (3.11) \quad \boxed{\text{A02}}$$

in  $\mathcal{L}(H_{\text{expRO}}^{-1}, H_{-\sigma}^1)$  with  $\sigma > 5/2$ . Note, that  $\mathcal{D}_2^+$  is one-dimensional operator. Substituting  $\boxed{\text{A02}}$  and  $\boxed{\text{L12}}$  into the first formula of  $\boxed{\text{deriv2}}$  (3.7), we obtain asymptotics  $\boxed{\text{Rexp}}$  (3.2) for the first derivative. Finally, integrating these asymptotics we obtain asymptotics  $\boxed{\text{Rexp}}$  (3.2) for  $R(\zeta)$ . Proposition  $\boxed{\text{R-exp}}$  3.3 is proved.  $\square$

Finally, for  $\mathcal{R}(\omega)$  the limiting absorption principle holds:

$\boxed{\text{lim}}$  **Lemma 3.4.** *Let condition  $\boxed{\text{IV}}$  (II.4) holds. Then for  $\omega \in \Gamma$  the convergence holds*

$$\mathcal{R}(\omega \pm i\varepsilon) \rightarrow \mathcal{R}(\omega \pm i0), \quad \varepsilon \rightarrow 0+ \quad (3.12) \quad \boxed{\text{lapp}}$$

in  $\mathcal{L}(L_\sigma^2, L_{-\sigma}^2)$  with  $\sigma > 1/2$ .

The proof of the lemma in the case of 3D Dirac equation one can find in [I7]. For the 2D equation the proof is similar. Note that under conditions  $\boxed{\text{IV}}$  (II.4) and  $\boxed{\text{SC}}$  (3.1), convergence  $\boxed{\text{Lapp}}$  (3.12) for  $\omega$  close enough to  $\pm m$  and  $\sigma > 1$  immediately follows from lemma  $\boxed{\text{R-Lb}}$  3.2 and the Lebesgue dominated convergence theorem. Below we use the limiting absorption principle just for such  $\omega$  and  $\sigma$ .

### 3.2 Time decay

Our main result is the following.

**Theorem 3.5.** *Let conditions  $\text{\textcircled{IV}}(1.4)$  and  $\text{\textcircled{SC}}(3.1)$  hold. Then for any  $\sigma > 5/2$  one has*

$$\|e^{-it\mathcal{H}}P_c\|_{\mathcal{L}(L^2_\sigma, L^2_{-\sigma})} = \mathcal{O}(|t|^{-1} \log^{-2} |t|), \quad t \rightarrow \pm\infty. \quad (3.13)$$

We estimate propagation near thresholds and propagation far from thresholds separately. Choose arbitrary  $\chi \in C_0^\infty(\mathbb{R}^2, \mathbb{C}^2)$  with the support in a sufficiently small neighborhood of  $[-m, m]$ . Then

$$\|e^{-it\mathcal{H}}P_c\|_{\mathcal{L}(L^2_\sigma, L^2_{-\sigma})} \leq \|e^{-it\mathcal{H}}P_c\chi(\mathcal{H})\|_{\mathcal{L}(L^2_\sigma, L^2_{-\sigma})} + \|e^{-it\mathcal{H}}P_c(1 - \chi(\mathcal{H}))\|_{\mathcal{L}(L^2_\sigma, L^2_{-\sigma})}. \quad (3.14)$$

**Propagation near thresholds.**

**Proposition 3.6.** *Let conditions  $\text{\textcircled{IV}}(1.4)$  and  $\text{\textcircled{SC}}(3.1)$  hold. Then for any  $\sigma > 5/2$  one has*

$$\|e^{-it\mathcal{H}}P_c\chi(\mathcal{H})\|_{\mathcal{L}(L^2_\sigma, L^2_{-\sigma})} = \mathcal{O}(|t|^{-1} \log^{-2} |t|), \quad t \rightarrow \pm\infty. \quad (3.15)$$

*Proof.* Lemmas  $\text{\textcircled{R-b}}(3.2)$  and  $\text{\textcircled{Lim}}(3.4)$  imply the representation (cf.  $\text{\textcircled{Gint1}}(2.15)$ ):

$$e^{-it\mathcal{H}}P_c\chi(\mathcal{H}) = \frac{1}{2\pi i} \int_{\Gamma} e^{-i\omega t} [\mathcal{R}(\omega + i0) - \mathcal{R}(\omega - i0)] \chi(\omega) d\omega \quad (3.16)$$

in  $\mathcal{L}(L^2_\sigma, L^2_{-\sigma})$  with  $\sigma > 1$ . Then we can apply ‘‘two-dimensional’’ modification of Lemma 10.2 from  $\text{\textcircled{Jeka}}[0]$ :

**Lemma 3.7.** *(see  $\text{\textcircled{Pdkg}}(11)$ , Lemma 3.12) Assume  $\mathcal{B}$  be a Banach space, and  $F \in C(a, b; \mathbf{B})$  satisfies  $F(a) = 0$  and  $F(\omega) = 0$  for  $\omega > b > a$ ,  $F' \in L^1(a + \delta, b; \mathbf{B})$  for any  $\delta > 0$ . Moreover,  $F'(\omega) = \mathcal{O}((\omega - a)^{-1} \ln^{-3}(\omega - a))$  as well as  $F''(\omega) = \mathcal{O}((\omega - a)^{-2} \log^{-2}(\omega - a))$  as  $\omega \rightarrow +a$ . Then*

$$\int_a^\infty e^{-it\omega} F(\omega) d\omega = \mathcal{O}(|t|^{-1} \ln^{-2} |t|), \quad t \rightarrow \pm\infty$$

Due to  $\text{\textcircled{Rexp}}(3.2)$ , we can apply this lemma with  $F = \chi(\omega)(\mathcal{R}(\omega + i0) - \mathcal{R}(\omega - i0))$ ,  $\mathcal{B} = \mathcal{L}(L^2_\sigma, L^2_{-\sigma})$  with  $\sigma > 5/2$  and appropriate values  $a$  and  $b$  to obtain  $\text{\textcircled{split1}}(3.15)$ .  $\square$

**Propagation far from thresholds.**

**Proposition 3.8.** *Let condition  $\text{\textcircled{IV}}(1.4)$  holds. Then for any  $\sigma > 0$  one has*

$$\|e^{-it\mathcal{H}}(1 - \chi(\mathcal{H}))\|_{\mathcal{L}(L^2_\sigma, L^2_{-\sigma})} = \mathcal{O}(|t|^{-\sigma}), \quad t \rightarrow \pm\infty. \quad (3.17)$$

Following  $\text{\textcircled{Bo}}[3]$  we deduce asymptotics  $\text{\textcircled{split1}}(3.15)$  from the *minimal escape velocity estimates* obtained in  $\text{\textcircled{Bo}}[3]$ , Theorem 2.1] for Dirac equation. Note that in  $\text{\textcircled{Bo}}[3]$  the 3D equation was considered, however in the 2D case the proof is similar. Denote

$$A = -i\frac{1}{2}\{\mathcal{H}_0^{-1}\nabla \cdot x + x \cdot \nabla\mathcal{H}_0^{-1}\},$$

and let  $\mathbf{I}_M$  be the characteristic function of a set  $M$ .

**mev** **Lemma 3.9.** (Minimal escape velocity estimate) Let condition  $\text{\textcircled{IV}.4}$  holds. Then there exists  $\theta > 0$  such that for any  $\gamma > 0$ ,  $v \in (0, \theta)$  and  $a \in \mathbb{R}$  one has

$$\|\mathbf{I}_{A \leq a+v|t|} e^{-itH} (1 - \chi(H)) \mathbf{I}_{A \geq a}\|_{\mathcal{L}(L^2, L^2)} \leq C \langle t \rangle^{-\gamma}, \quad (3.18) \quad \text{\textcircled{md}}$$

where  $C$  does not depend on  $a$  and  $t$ .

*Proof of Proposition  $\text{\textcircled{B3}}$ .* We consider only integer  $\sigma = 1, 2, \dots$  since it is sufficient for our purposes. For any  $c \geq 0$  one has

$$\langle A \rangle^{-\sigma} = \langle A \rangle^{-\sigma} \mathbf{I}_{\pm A \leq c|t|} + \mathcal{O}(|t|^{-\sigma}), \quad |t| \geq 1$$

in  $\mathcal{L}(L^2, L^2)$ . Hence,

$$\langle A \rangle^{-\sigma} e^{-it\mathcal{H}} (1 - \chi(\mathcal{H})) \langle A \rangle^{-\sigma} = \langle A \rangle^{-\sigma} \mathbf{I}_{A \leq (\theta - \varepsilon)|t|/2} e^{-it\mathcal{H}} (1 - \chi(\mathcal{H})) \mathbf{I}_{A \geq -\theta|t|/2} \langle A \rangle^{-\sigma} + \mathcal{O}(|t|^{-\sigma}).$$

Choosing  $a = -\frac{\theta|t|}{2}$  and  $v = \theta - \frac{\varepsilon}{2}$  in Lemma  $\text{\textcircled{mev}3.9}$ , we obtain

$$\|\langle A \rangle^{-\sigma} e^{-it\mathcal{H}} (1 - \chi(\mathcal{H})) \langle A \rangle^{-\sigma}\|_{\mathcal{L}(L^2, L^2)} = \mathcal{O}(|t|^{-\sigma}), \quad t \pm \infty.$$

Now  $\text{\textcircled{split1}3.15}$  follows since for integer  $\sigma$  the operators  $\langle A \rangle^{-\sigma} \langle x \rangle^\sigma$  and  $\langle x \rangle^\sigma \langle A \rangle^{-\sigma}$  are bounded in  $\mathcal{L}(L^2, L^2)$  by the standard technique of PDOs (see.  $\text{\textcircled{ABG, Sh}2, 14}$ ).

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